

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

GOLDSPINK et al.

Serial No. 09/852,261

Filed: May 10, 2001

For: REPAIR OF NERVE DAMAGE

Attention: Application Branch

Atty. Dkt. 117-351

Date: October 5, 2001

Assistant Commissioner for Patents

Washington, D.C. 20231

The attached completes filing of the above-identified patent application:

- ☒ Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone, OR
☐ Signed Declaration plus attached copy of originally filed specification/drawings.
☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.
☒ Record the attached assignment and return to the undersigned.
☐ Attached is a Power of Attorney.
☒ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:
- | Application Number | Country | Day/Month/Year Filed |
|--------------------|---------------|----------------------|
| 0011278.9 | GREAT BRITAIN | 10 May 2000 |

respectively.

- ☐ Certified copy(ies) of foreign application(s) is/are attached.
☐ Certified copy(ies) filed on _____ in prior application no. _____, filed _____.
☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.
☐ Please enter the attached and/or below preliminary amendment prior to calculation of filing fee.
☒ Also attached: ☒ Information Disclosure Statement; ☒ Nucleotide and/or Amino Acid
Sequence Submission and Disc; ☐ Other:

Fees due are calculated below:

| | | | |
|--|--------------------------------|------|----------|
| Basic filing fee | | \$ | 740.00 |
| Total Effective claims | 13 - 20 = 0 | x \$ | 18.00 |
| | | \$ | 0.00 |
| Independent claims | 2 - 3 = 0 | x \$ | 84.00 |
| | | \$ | 0.00 |
| If any proper multiple dependent claims now added for first time, add \$280.00 (ignore improper) | | \$ | 0.00 |
| | FILING FEE | \$ | 740.00 |
| Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920.00/3 months; \$1440.00/4 months) | | \$ | 110.00 |
| Surcharge (\$130.00) if Declaration or filing fee first now submitted | | \$ | 130.00 |
| English translation of specification and claims (\$130.00) | | \$ | 0.00 |
| | FIRST SUBTOTAL | \$ | 980.00 |
| If "small entity," enter half (1/2) of subtotal and subtract | | -\$ | 0.00 |
| | SECOND SUBTOTAL | \$ | 980.00 |
| Assignment Recording Fee (\$40.00) | | \$ | 80.00 |
| | TOTAL FEE DUE | \$ | 1,060.00 |
| | Check enclosed (Pre-Bill)* | \$ | 920.00 |
| | Check enclosed (non Pre-Bill)* | \$ | 140.00 |
| | TOTAL FEE ENCLOSED | \$ | 1060.00 |

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

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By Atty: B. J. Sadoff, Reg. No. 36,663

Signature: _____

*For N&V internal accounting purposes

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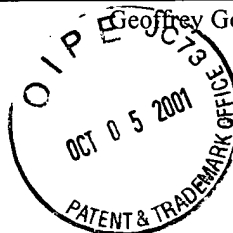


UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/852,261 | 05/10/2001 | Geoffrey Goldspink | 117-351 |

NIXON & VANDERHYE P.C.
1100 North Glebe Road, 8th Floor
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CONFIRMATION NO. 5457

FORMALITIES LETTER



OC000000006312161

Date Mailed: 07/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

10/10/2001 MBELETE1 00000031 09852261

01 FC:101
02 FC:105

740.00 OP
130.00 OP

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE